I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Certificate No. EV 336627078 US, in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 11403

Signature:

∠ (Tamara Alcaraz)

PATENT Docket No. 492692000102

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Nurith KURN

Serial No.: Not Yet Assigned

Filing Date: Currently Herewith

For: METHODS AND COMPOSITIONS FOR

LINEAR ISOTHERMAL AMPLIFICATION OF POLYNUCLEOTIDE SEQUENCES USING A RNA DNA COMPOSITE

**PRIMER** 

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449s. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/990,531, filed November 21, 2001, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

pa-805308 1

Also pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documentS listed on the attached Form PTO-1449 (page 11). Copies of these document are submitted herewith. The Examiner is requested to make these documents of record as well.

	This Inf	formation Disclosure Statement is submitted:
$\boxtimes$	With	the application; accordingly, no fee or separate requirements are required.
	Befor	e the mailing of a first Office Action after the filing of a Request for Continued
	Exam	ination under § 1.114.
	Withi	n three months of the application filing date or before mailing of a first Office
	Actio	n on the merits; accordingly, no fee or separate requirements are required.
	After	receipt of a first Office Action on the merits but before mailing of a final Office
	Actio	n or Notice of Allowance.
		A fee is required. A check in the amount of is enclosed.
	·	A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached
		to this submission in duplicate.
		A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee
		is believed to be due.
	After	mailing of a final Office Action or Notice of Allowance, but before payment of the
	issue	fee.
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the
		amount of is enclosed.
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmitta
		form (PTO/SB/17 is attached to this submission in duplicate.)

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search

has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the fee transmittal is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 492692000102. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: 11/14/03

Respectfully submitted,

Cara M. Coburn

Registration No.: 46,631

MORRISON &
FOERSTER LLP
755 Page Mill Road
Palo Alto, California 94304
(650) 813-4218
(650) 494-0792
Attorneys for Applicant

# Form PTO-1449 INFORMATION DISCLOSURE CITATION

AATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 492692000102	Application Number Not Yet Assigned
Applicant	
Nur	ith KURN
Filing Date Concurrently Herewith	Group Art Unit Not Yet Assigned
Mailing Date November 14, 2003	

#### U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	12/13/2000	60/255,638	Kurn			
	2.	04/15/1986	4,582,788	Erlich			
-	3:	07/28/1987	4,683,194	Saiki et al.			
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- · · ·	5.	10/24/1989	4,876,187	Duck et al.			
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÷	25.	08/05/1997	5,654,142	Kievits et al.			
	26.	09/09/1997	5,665,545	Malek et al.			

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DATE CONSIDERED:

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28.	10/21/1997	5,679,512	Lane	ey et al.				
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30.	01/20/1998	5,710,028	Eyal	et al.				
31.	04/28/1998	5,744,308	Guil	lou-Bonnici et al.				
32.	04/28/1998	5,744,312	Man	none et al.		·		
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# Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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Docket Number 492692000102	Application Number Not Yet Assigned
Applicant	
Nur	rith KURN
Filing Date Concurrently Herewith	Group Art Unit Not Yet Assigned
Mailing Date November 14, 2003	

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Transi YES	lation NO
	55.	04/28/1982	EP 0 050 424 A1, B1	Europe				
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<u>,</u>	69.	02/02/1995	WO 95/03426 A2,A3	WIPO			Abstract	
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### Form PTO-1449

#### INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

	Dilect + Of 11
Docket Number 492692000102	Application Number Not Yet Assigned
Applicant	
Nur	ith KURN
Filing Date Concurrently Herewith	Group Art Unit Not Yet Assigned
Mailing Date November 14, 2003	

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Examiner Initials	Ref. No.	Title
	84.	Ausubel, F.M. et al., Eds. (1995). <u>Current Protocols in Molecular Biology</u> . John Wiley & Sons, Inc. pp. iii-xii (Table of Contents).
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	86.	Flanagan, W. M. et al. (March, 1999). "A Cytosine Analog That Confers Enhanced Potency to Antisense Oligonucleotides," <i>Proc. Natl. Acad. Sci. USA</i> 96(7):3513-3518.
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	89.	Fu, DJ. et al., (1997) "Sequencing double-stranded DNA by strand displacement" <i>Nucleic Acids Research</i> 25(3):677-679.
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	92.	Guatelli et al. (March 1990). "Isothermal, In Vitro Amplification of Nucleic Acids by a Multienzyme Reaction Modeled After Retroviral Replication," <i>Proc. Natl. Acad. Sci. USA</i> 87:1874-1878.
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	95.	Lishanski, A. et al. (2000). "Branch Migration Inhibition in PCR-Amplified DNA: Homogeneous Mutation Detection," <i>Nucl. Acids Res.</i> 28(9):E42.
	96.	Lockhart et al., (December 1996) "Expression monitoring by hybridization to high-density oligonucleotide arrays" <i>Nature Biotechnology</i> 14:1675-1680.
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	98.	Patel, R. et al., (April 1996) "Formation of chimeric DNA primer extension products by template switching onto an annealed downstream oligonucleotide" <i>Proc. Nalt. Acad. Sci., USA Chemistry</i> , 93:2969-2974.
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IN	AN APPLICATION	Nurith KURN				
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		Mailing Date November 14, 2003				
100.			Vitro: the Polymerase Chain			
101.	Mullis, K.B et al., Eds. (1994). <u>PCR</u> (Table of Contents).	: Polymerase Chain Reaction. B	Birkhäuser: Boston, pp. xv-xvii			
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112.	Strand Conformation Polymorphism					
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114.	Walker et al. (January 1992). "Isoth	ermal In Vitro Amplification of	DNA by a Restriction			
115.	Wu et al. (1989). "The Ligation Am	plification Reaction (LAR)Am	plification of Specific DNA			
	MATI IN (C 100. 101. 102. 103. 104. 105. 106. 107. 108. 109. 110. 111. 112. 113. 114.	MATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)  100. Mullis et al. (1986). "Specific Enzymeaction," Cold Spring Harbor Symematory 101. Mullis, K.B et al., Eds. (1994). PCR (Table of Contents).  102. Orita M., et al. (1989). "Detection of Single-Strand Conformation Polymont Switching Onto an Annealed Downst 2974.  104. Saiki, et al. (1988). "Primer-Directer Polymerase," Science 239:487.  105. Sambrook, J. et al., Eds. (1989). Mc Spring Harbor Laboratory Press, pp. 106. Sarkar et al. (1992). "Screening for (rSSCP): Comparison with DNA-SSC (1989). "Trans RNA Polymerase," Proc. Natl. Acad. Sci. Usensis," Meth. Enzymol. 317:3-18. 109. Schena et al., (October 20, 1995) "Que complementary DNA microarray" Science 21. (1996). "Parallel human 1000 genes" Proc. Natl. Acad. Sci. Usensis, "Yet al. (1996). "Detection Strand Conformation Polymorphism 5(7):1037-1043.  111. Walker et al. (May 9, 2000). "Pulocked Nucleic Acids," Proc. Natl. 114. Walker et al. (January 1992). "Isoth Enzyme/DNA Polymerase System," 115. Wu et al. (1989). "The Ligation Am	MATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)  Filing Date Concurrently Herewith Mailting Date November 14, 2003  100. Mullis et al. (1986). "Specific Enzymatic Amplification of DNA In Reaction," Cold Spring Harbor Symp. Quant. Biol. 51:263-273.  101. Mullis, K.B et al., Eds. (1994). PCR: Polymerase Chain Reaction. E (Table of Contents).  102. Orita M., et al. (1989). "Detection of Polymorphisms of Human DN Single-Strand Conformation Polymorphisms," Proc. Natl. Acad. Sci. 103. Patel et al. (April 1996). "Formation of Chimeric DNA Primer Exter Switching Onto an Annealed Downstream Oligonucleotide," Proc. Natl. Acad. Sci. 2974.  104. Saiki, et al. (1988). "Primer-Directed Enzymatic Amplification of D Polymerase," Science 239:487.  105. Sambrook, J. et al., Eds. (1989). Molecular Cloning: A Laboratory N Spring Harbor Laboratory Press, pp. xi-xxxviii (Table of Contents).  106. Sarkar et al. (1992). "Screening for Mutations by RNA Single-Stran (rSSCP): Comparison with DNA-SSCP," Nucl. Acids Res. 20(4):87.  107. Sasaki, N. et al. (March 1998). "Transcriptional Sequencing: A Mett. RNA Polymerase," Proc. Natl. Acad. Sci. USA 95:3455-3460.  108. Scaringe, S.A. (2000). "Advanced 5'-Silyl-2'-Orthoester Approach to Synthesis," Meth. Enzymol. 317:3-18.  109. Schena et al., (October 20, 1995). "Quantitative monitoring of gene excomplementary DNA microarray" Science 270:467-470  110. Schena et al., (1996) "Parallel human genome analysis: microarray-bi 1000 genes" Proc. Natl. Acad. Sci. USA Biochemistry 93:10614-1061  111. Shalon et al., (1996) "A DNA microarray system for analyzing comp color fluorescent probe hybridization" Genome Res. 6:639-645.  112. Suzuki, Y. et al. (1990). "Detection of Ras Gene Mutations in Huma Strand Conformation Polymorphism Analysis of Polymerase Chain R 5(7):1037-1043.  113. Wahlested et al. (May 9, 2000). "Potent and Nontoxic Antisense Ol Locked Nucleic Acids," Proc. Natl. Acad. Sci. USA 97(10):5633-56			

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessors)  U.S. PATENT DOCUMENTS  Examiner Initials  I. 03/29/2001 US 2001/0000077 At   Engelhardt et al.	Form PTO	orm PTO-1449 INFORMATION DISCLOSURE CITATION				Docket Number 492692000102 Application Number Not Yet Assigne				
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Docket Number 492692000102 Application Number Not Yet Assigned Form PTO-1449 Applicant INFORMATION DISCLOSURE CITATION Nurith KURN IN AN APPLICATION (Use several sheets if necessary) Filing Date Concurrently Herewith Group Art Unit Not Yet Assigned Mailing Date November 7, 2003 U.S. PATENT DOCUMENTS Filing Date If Ref. Document No. Class Subclass Examiner Date Name **Initials** No. Appropriate 1. 08/27/1991 5,043,272 Hartley 435 91 2. 09/28/1999 5,958,681 Wetmur et al. 435 6 3. Pardee and Liang 435 91.2 10/12/1999 5,965,409 4. 03/29/2001 US 2001/0000077 A1 Engelhardt et al. 435 91.1 5. 11/15/2001 US 2001/0041334 A1 Rashtchian and Solus. 435 6 6 6. 435 5/16/2002 US 2002/0058270 A1 Kurn 7. 11/7/2002 US 2002/0164628 A1 Kurn 435 6 8. Kurn 10/100,321 3/11/2002 FOREIGN PATENT DOCUMENTS Examiner Ref. Document No. Country Class Subclass Translation Date **Initials** No. YES NO 6. 05/02/1990 EP 0 365 627 B1 Europe 7. 10/31/1990 EP 0 395 398 A2, A3 Europe 8. 08/05/1992 EP 0 497 272 A1, B1 Europe 9. 08/26/1992 EP 0 500 224 A2, A3 Europe 10. 05/26/1993 EP 0 543 612 A2, A3, B1 Europe 11. 08/16/1995 EP 0 667 393 A2, A3 Europe 12. WIPO 02/17/2000 WO 00/08208 A2, A3 13. 09/08/2000 WO 00/52191 A1 **WIPO** 14. WIPO 04/05/2001 WO 01/23613 A1 15. 09/07/2001 WO 01/64952 A2 **WIPO** 16. 04/11/2002 WO 02/28876 A2, A3 **WIPO** OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.) Examiner Ref. Title **Initials** No. **EXAMINER:** DATE CONSIDERED: EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

#### Sheet 8 of 11 Docket Number 492692000102 Application Number Not Yet Assigned Form PTO-1449 Applicant INFORMATION DISCLOSURE CITATION Nurith KURN IN AN APPLICATION Filing Date Concurrently Herewith Group Art Unit Not Yet Assigned (Use several sheets if necessary) Mailing Date November 14, 2003 U.S. PATENT DOCUMENTS Document No. Subclass Filing Date If Examiner Ref. Date Name Class **Initials** No. Appropriate 1. 03/13/1990 4,908,385 Bar-Tana et al. 2. 04/25/1995 5,409,818 Davey et al. 3. 12/31/1996 5,589,339 Hampson et al. 4. Duck et al. 08/26/1997 5,660,988 5. Van Gelder et al. 02/10/1998 5,716,785 Duck et al. 6. 03/24/1998 5,731,146 7. Lizardi 12/29/1998 5,854,033 8. Chenchik et al. 10/05/1999 5,962,271 Burg et al. 9. 6,090,591 07/18/2000 Shannon 10. 10/17/2000 6,132,997 Lizardi et al. 11. 11/07/2000 6,143,495 12. Van Gelder et al. 09/18/2001 6,291,170 Jarrell et al. 13. 03/19/2002 6,358,712 FOREIGN PATENT DOCUMENTS Examiner Ref. Date Document No. Class Subclass Translation Country NO **Initials** No. YES 14. 11/18/1998 EP 0 878 553 Europe 15. 01/12/2000 EP 0 971 039 Europe 07/13/2000 WO 00/40715 **WIPO** 16. 17. 01/03/2002 WO 02/00938 **WIPO** 18. 04/11/2002 WO 02/29117 **WIPO** WO 02/072772 **WIPO** 19. 09/19/2002 WO 02/103013 **WIPO** 20. 12/27/2002 OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.) Examiner | Ref. Title **EXAMINER:** DATE CONSIDERED: EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

## Docket Number 492692000102 Application Number Not Yet Assigned Form PTO-1449 Applicant INFORMATION DISCLOSURE CITATION Nurith KURN IN AN APPLICATION Filing Date Concurrently Herewith Group Art Unit Not Yet Assigned (Use several sheets if necessary) Mailing Date November 14, 2003 Initials No. 21. Blanchard et al. (1996). "High-density oligonucleotide arrays" Biosensors & Bioelectronics, 11(6/7):687-690. Caruthers et al. (1987). "Chemical synthesis of deoxyoligonucleotides by the phosphoramidite 22. method" Methods In Enzymology 154:287-313. Gubler and Hoffman (1983). "A simple and very efficient method for generating cDNA libraries" 23. Gene 25:263-269. 24. Khrapko et al. (1991). "A method for DNA sequencing by hybridization with oligonucleotide matrix" DNA Sequence 1:375-388. Marshall and Hodgson, (January 1998). "DNA chips: An array of possibilities" Nature Biotechnol. 25. 16:27-31. 26. Matson et al. (1995). "Biopolymer synthesis on polypropylene supports: Oligonucleotide arrays" Anal Biochem. 224(1):110-116. 27. Okayama and Berg (1982) "High Efficiency Cloning of Full-Length cDNA" Molecular and Cell Biology 2:161-170. Orita, M. et al. (1989). "Rapid and sensitive detection of point mutations and DNA polymorphisms 28. using the polymerase chain reaction," Genomics 5(4):874-879. Ramsay, G. (1998). "DNA chips: State-of-the art," Nature Biotechnol. 16(1):40-44. 29. Scaringe et al. (1998). "Novel RNA synthesis method using 5'-0-silyl-2'-0-orthoester protecting 30. groups," J. Am. Chem. Soc. 120:11820-11821.

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